



Proposals for 2014 SRI Foundation Dissertation Research Grants in Historic Preservation

The SRI Foundation (SRIF) Dissertation Research Grants in Historic Preservation provide Ph.D. candidates in historic preservation-related disciplines with the opportunity to complete a dissertation that *either* (1) expands the scholarly impact of one or more completed historic preservation projects *or* (2) advances the practice of historic preservation *and* that, in either case, contributes to a greater public appreciation of historic preservation efforts. Awards of \$10,000 will be devoted to projects substantially contributing to the completion of a PhD in a field related to historic preservation, including anthropology, archaeology, architecture, and history.

Project Requirements

Applicants must apply for one of the two classes of awards: (1) expanding the scholarly impact of one or more completed historic preservation projects or (2) advancing the practice of historic preservation. Awards will be made to the top two proposals regardless of class of award.

Applicants for the first class of award must use as their primary data source information deriving from one or more *already completed* historic preservation projects. Additional fieldwork is strongly discouraged, although projects including minor supplemental field work that substantially enhances the research potential of the completed work will be considered. The form that a completed historic preservation project may take will vary with discipline. In archaeology, this often is the final report and curated data associated with a project undertaken to comply with Section 106 of the National Historic Preservation Act. In cultural anthropology, this might be a completed and published ethnographic study undertaken to identify traditional cultural properties, cultural landscapes, or other resources potentially impacted by a governmentally-sponsored or -permitted project. In historic architecture, this might take the form of previously prepared and submitted historic architectural studies, National Register nomination forms, or HABS and HAER documentation on file at a state or federal agency. Generally speaking, for purposes of this proposal, a historic preservation project was undertaken in response to legally mandated cultural resource management rather than as pure research or academic research.

Applicants from the second class of award (e.g., in anthropology; history; architecture; or historic preservation planning, law, and public policy) will propose research directed primarily to understanding and improving the practice of historic preservation. Examples of the types of research we hope to fund include: designing local historic preservation plans, developing Tribal historic preservation programs, and investigating creative alternatives to standard mitigation for historic architectural resources and archaeological sites. Research that is primarily devoted to methodology will not be supported.

Applications for both classes of awards will disseminate the results of their efforts to others in their field of study *and* to the interested public. Proposed projects must include a product or products designed to enhance the general public's knowledge and appreciation of the past.

Proposal

The proposal must be submitted on the accompanying forms and must include: (1) a statement of the research problem and research goals; (2a) in the case of the first class of awards, a description of completed historic preservation project(s) on which the proposed research will build and how the proposed research will expand their scholarly impact; (2b) in the case of the second class of awards, how the proposed research will advance the practice of historic preservation; (3) a research plan; (4) identification of the public-oriented product(s); (5) qualifications of the applicant as they relate directly to this research proposal; (6) anticipated costs; and (7) references cited. *In addition*, the applicant must submit (8) his or her curriculum vitae or professional résumé, and the names of two references and their contact information, with one of these references being the chair of the student's doctoral committee. Excepting cases in which proposals cannot be submitted electronically, they must be submitted by email to cvanwest@srifoundation.org.



Should you have questions concerning the suitability of the proposed research or completion of the application, please contact Dr. Carla R. Van West, Grants Coordinator for the SRIF Dissertation Grant Review Committee, at the SRI Foundation (SRIF). Dr. Van West may be reached by telephone at (505) 892-5587 or through email at cvanwest@srifoundation.org.

Deliverables

Three deliverables—a brief progress report, a successfully defended dissertation, and a short report on a completed public-oriented product—are required with award of this grant. The progress report will be submitted with a letter signed by the recipient’s dissertation chair indicating that chair has reviewed the report. The form and content of the dissertation will vary across academic programs and departments and will be determined by the recipient’s dissertation committee. The public-oriented product(s) may take any number of forms, but the medium and the content must be proposed by the grant applicant. A copy of the successfully defended dissertation will be submitted to the Grants Coordinator of the SRIF Dissertation Grant Review Committee. Finally, a report describing and documenting the delivery of the public-oriented product(s) associated with this research will be submitted to the Grants Coordinator of the SRIF Dissertation Grant Review Committee.

Distribution of Funds

Grant funds will be disbursed to the recipient in four payments. These payments are not administered through the awardee’s university; rather the payments are given directly to the dissertation candidate. The first disbursement will be for \$5,000.00 and will serve as start-up funding for the dissertation research; it will be made within 45 days of award notification. A second disbursement for \$3,000.00 will be issued on acceptance of a three-to-five page progress report due one (1) year after the initial award date. A third disbursement of \$1,000.00 will be sent after the successful defense of the dissertation and submission of the dissertation to SRI Foundation. A final disbursement of \$1,000.00 will be issued on receipt of the report describing the public-oriented product(s).

Unless exceptional circumstances apply, the SRIF Dissertation Grant Review Committee expects that the dissertation will be defended within three (3) years of the award, and the public products will be developed and submitted to SRIF within one (1) year following the defense (although these products can be developed and delivered prior to the dissertation defense). However, with proper justification, a one-year extension may be granted to complete either the research or the public-oriented products. Request for an extension must be received no later than three months before the scheduled completion date for each deliverable. Should the applicant not complete his or her dissertation, no additional funds beyond those payments already made will be issued.

Anticipated Costs

A SRIF Dissertation Research Grant award is limited to \$10,000.00 per applicant. In evaluating the appropriateness of the budget, the SRIF Dissertation Grant Review Committee will assess how much time and money are required to complete the proposed dissertation research and what portion of this cost will be funded by the SRIF Dissertation Research Grant. The applicant must detail total project costs as well as all grants from other institutions that have awarded or are being solicited for support (e.g., National Science Foundation Doctoral Dissertation Improvement Grant, Sigma Xi Grant-in-Aid of Research, Wenner-Gren Grant, or grants or scholarships from the student’s university). The applicant must distinguish support being sought to cover the same or similar expenses from those sought to support different aspects of the research project and must indicate whether the completion of the dissertation is contingent on the award of any of these other grants, on the granting of a permit or other contingencies. Anticipated costs may include cost of goods and services (e.g., supplies, laboratory analyses, photocopies, postage); cost of transportation, lodging, and food associated with data collection and/or analysis; and cost of living expenses while writing the dissertation.



SRI FOUNDATION DISSERTATION RESEARCH GRANT PROPOSAL

PROPOSALS MUST: FOLLOW THIS FORMAT, BE LIMITED TO THE SPACE PROVIDED, AND BE SUBMITTED ELECTRONICALLY ON THESE FORMS. PROPOSALS RECEIVED IN ANY OTHER FORMAT WILL NOT BE CONSIDERED. OTHER THAN THE REQUIRED CURRICULUM VITAE OR RÉSUMÉ, NO ADDITIONAL ATTACHMENTS ARE PERMITTED. YOU NEED NOT CONSUME ALL OF THE ALLOCATED SPACE FOR EACH APPLICATION SECTION; IN MANY CASES A CLEAR AND CONCISE ANSWER WILL TAKE LESS SPACE. DO NOT USE TYPE SMALLER THAN 11 POINT. This is 11-point type.

Project Title:

Qualification to Apply:

Are you a Ph.D. Candidate in your Academic Department? Yes No

Have you passed your doctoral exams (i.e., Are you All But Dissertation [ABD])? Yes No

Grant Application Type: Check only one box:

- Research that expands the scholarly impact of one or more historic preservation projects
- Research that advances the practice of historic preservation

Name, Address, Telephone, and Email Address of Grant Applicant:

Academic Affiliation of Research Grant Applicant (institution and department/program):

Anticipated Project Start Date:

Anticipated Project Completion Date:

Names and Contact Information (address, telephone, and email) for Two References:

(At least one of these references must be the chair of the applicant's doctoral committee)



1. STATEMENT OF THE RESEARCH PROBLEM AND GOALS (Maximum of two pages.
Describe the research problem or hypothesis to be investigated):



1. RESEARCH PROBLEM AND GOALS (continued):



2a. DESCRIPTION OF ONE OR MORE COMPLETED HISTORIC PRESERVATION PROJECTS AND THEIR ASSOCIATED DATA ON WHICH THE PROPOSED RESEARCH WILL BUILD AND A DISCUSSION OF HOW THE PROPOSED RESEARCH WILL EXPAND THEIR SCHOLARLY IMPACT OR 2b. DESCRIPTION OF HOW THE PROPOSED RESEARCH WILL ADVANCE THE PRACTICE OF HISTORIC PRESERVATION (Maximum of two pages.):



2a or 2b. DESCRIPTION (continued):



3. RESEARCH PLAN (Maximum of two pages. Include a discussion of methods and how the previously gathered historic preservation data will be integrated into your research.):



3. RESEARCH PLAN (continued):



4. PROPOSED PUBLIC PRODUCT (Use this page only. Describe the public product and explain its potential public benefit.):



5. QUALIFICATIONS OF THE GRANT APPLICANT (Use this page only. List only those qualifications directly related to this grant request.):



6. ANTICIPATED COSTS (continued):



7. REFERENCES CITED (No page limit. List only those cited in this proposal.):